

# JAN 0 5 2003

The Honorable Joanne M. S. Brown Legislative Secretary I Mina'Bente Singko na Liheslaturan Guåhan Twenty-Fifth Guam Legislature Suite 200 130 Aspinal Street Hagåtña, Guam 96910

Dear Legislative Secretary Brown:

Enclosed please find Substitute Bill No. 424 (COR), "AN ACT TO *ADD* § 86108(6) TO TITLE 10 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE CREATION OF AN ACCOUNT FOR THE CHILD MENTAL HEALTH INITIATIVE PROGRAM, A FEDERALLY FUNDED PROGRAM OF THE DEPARTMENT OF MENTAL HEALTH AND SUBSTANCE ABUSE, AND FOR OTHER PURPOSES", which was **signed** into law as **Public Law No. 26-170.** 

Very truly yours,

Carl T. C. Gutierrez I Maga'Lahen Guåhan Governor of Guam

Attachment: copy attached for signed bill or overridden bill

original attached for vetoed bill

cc: The Honorable Antonio R. Unpingco

Speaker

# MINA'BENTE SAIS NA LIHESLATURAN GUAHAN 2002 (SECOND) Regular Session

### CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUAHAN

This is to certify that Substitute Bill No. 424 (COR), "AN ACT TO *ADD* § 86108(6) TO TITLE 10 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE CREATION OF AN ACCOUNT FOR THE CHILD MENTAL HEALTH INITIATIVE PROGRAM, A FEDERALLY FUNDED PROGRAM OF THE DEPARTMENT OF MENTAL HEALTH AND SUBSTANCE ABUSE, AND FOR OTHER PURPOSES," was on the 30<sup>th</sup> day of December, 2002, duly and regularly passed.

ANTONIO R. UNPINGCO
Speaker

Attested:

JOANNE M.S. BROWN
Senator and Legislative Secretary

This Act was received by I Maga'lahen Guahan this 3/St day of Weember, 2002, at 2/30 o'clock p.M.

Assistant Staff Officer

Maga'lahi's Office

APPROVED:

CARL T. C. GUTIERREZ

I Maga'lahen Guahan

Public Law No. **26.170** 

# MINA'BENTE SAIS NA LIHESLATURAN GUÅHAN 2002 (SECOND) Regular Session

#### Bill No. 424 (COR)

As substituted by the Committee on Public Works, Health and Human Services and amended.

Introduced by:

1

E. B. Calvo

A. R. Unpingco

L. F. Kasperbauer

J. F. Ada

T.C. Ada

F. B. Aguon, Jr.

J. M.S. Brown

F. P. Camacho

M. C. Charfauros

Mark Forbes

L. A. Leon Guerrero

K. S. Moylan

V. C. Pangelinan

A. L. G. Santos

J. T. Won Pat

AN ACT TO ADD § 86108(6) TO TITLE 10 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE CREATION OF AN ACCOUNT FOR THE CHILD MENTAL HEALTH INITIATIVE PROGRAM, A FEDERALLY **FUNDED PROGRAM OF** THE DEPARTMENT **OF** MENTAL HEALTH **AND SUBSTANCE** ABUSE, **AND** FOR **OTHER** PURPOSES.

#### BE IT ENACTED BY THE PEOPLE OF GUAM:

- 2 Section 1. Legislative Findings and Intent. I Liheslaturan Guåhan
- 3 finds that the Department of Mental Health and Substance Abuse

1 ('DMH&SA') is the single state authority and agency for mental health and substance abuse services.

DMH&SA has applied competitively for the Child Mental Health Initiative Grant from the United States Department of Health and Human Services, Substance Abuse and Mental Health Services Administration ('SAMHSA'), and was awarded Nine Million Five Hundred Thousand Dollars (\$9,500,000) for a period of six (6) years, to administer the Child Mental Health Initiative Program.

Under the leadership of SAMHSA, over forty-four (44) grants have been awarded to sites across the United States, and now Guam, who applied for funds to improve systems of care for children. SAMHSA has a clear directive to Child Mental Health Initiative sites that they implement family-provider collaboration within their systems of care. This directive provides a unique opportunity for Guam to work in collaboration when offering services to children with emotional, behavioral, and mental disorders and their families.

As the Federal grant guidelines state, successful implementation of systems of care at the Child Mental Health Initiative sites depends upon "the full involvement and partnership of families in: (1) the planning, development, implementation, management and evaluation of the local service system (agencies such as DMH&SA, DYA, DISID, DPH&SS, DOE, and the family court of the Superior Court of Guam); and (2) the care of their children and adolescents. DMH&SA hopes that there will be a range of participants in a wide variety of systems of care who are looking for strategies to increase family participation and expand family-provider collaboration.

Included with this Act are measures relative to the Child Mental Health

- 1 Initiative Program creating a fund to secure grant monies anticipated by
- 2 DMH&SA through quarterly allotments from SAMHSA. Additionally, this
- 3 Act allows for DMH&SA to secure the necessary positions required under the
- 4 grant award through unclassified recruitment.

### Section 2. Child Mental Health Initiative Program Grant Fund.

- (a) There is created, separate and apart from any other funds of the government of Guam, under the administration and control of DMH&SA, Child-Adolescent Services Division, the Child Mental Health Initiative Grant Fund.
- (b) The Child Mental Health Initiative Grant Fund shall be held in an account, or accounts, at any Guam financial institution, or institutions, separate and apart from all other accounts and funds of the government of Guam, and shall *not* be subject to the transfer authority of *I Maga'lahen Guåhan*. All monies deposited into this account are hereby appropriated to DMH&SA, Child-Adolescent Services Division. One hundred percent (100%) of the funds in the Child Mental Health Initiative Program Grant Fund shall be utilized by DMH&SA, Child-Adolescent Services Division, for the administration, management and operations of the Child Mental Health Initiative Program.
- (c) All funds received by DMH&SA from SAMHSA of the United States Department of Health and Human Services for the Child Mental Health Initiative Program shall be deposited into the Child Mental Health Initiative Grant Fund.
- (d) All local matching fund appropriations and budget allotments for the Child Mental Health Initiative Program shall be

deposited into the Child Mental Health Initiative Grant Fund.

- (e) Any in-kind monetary, philanthropic contribution or any program income generated from direct or tertiary services of the Child Mental Health Initiative Program shall be deposited into the Child Mental Health Initiative Grant Fund. Such deposits shall be used towards the local matching requirements for the benefit and use of the Child Mental Health Initiative Program.
- (f) All interest accrued by the Child Mental Health Initiative Grant Fund shall be transferred bi-annually into the DMH&SA, Auxiliary Fund (*specifically* for C.M.H.I. services), as created by § 86106 of Chapter 86 of Title 10 of the Guam Code Annotated.
- (g) The Child Mental Health Initiative Grant Fund shall be audited by the Guam Public Auditor annually, meeting the single audit requirements of the United States Office of Management Budget, Circular A-133. A copy of the audit shall be forwarded to *I Maga'lahen Guåhan* and *I Liheslaturan Guåhan* upon completion.
- Section 3. Use of General Fund. Notwithstanding any other provision of law, the Child Mental Health Initiative Program is authorized to use in the interim the General Fund to *temporarily* incur the Federal matching allocations approved by SAMHSA of the United States Department of Health and Human Services. The *interim* use of funds is hereby appropriated from the General Fund; provided:
  - (a) any funds used are subject to reimbursement by the Federal government; *and*

1	(b) reimbursed funds shall be returned to the General Fund
2	upon receipt.
3	Section 4. Authorization to Hire. DMH&SA is hereby authorized to
4	hire the necessary unclassified administrative, technical, professional and
5	licensed personnel to carry out the work of the Child Mental Health Initiative
6	Program; provided, that the position is one hundred percent (100%) Federally
7	funded, and is an approved position by SAMHSA of the United States
8	Department of Health and Human Services, only under C.M.H.I.
9	comprehensive agreement. These unclassified positions are as follows:
10	Clinical Director/Training Coordinator
11	Project Director
12	Key Family Contact
13	Youth Coordinator
14	Social Marketing/Communication Manager
15	Technical Assistance Coordinator
16	Care Coordinator (SW1)
17	Care Coordinator (SW1+3)
18	Care Coordinator (SW2+3)
19	Care Coordinator (SW3+5)
20	Administrative Officer
21	Data/Administrative Clerk
22	Allocation of pay grades for the unclassified positions shall be slotted by
23	the Civil Service Commisson (CSC) using the Hay Guide Chart profile. These
24	actions are subject to audit by the CSC.
25	Section 5. Section 86108(6) of Chapter 86, Division 4 of Title 10 of the

1 Guam Code Annotated is hereby *added* to read as follows:

- "(6) Perform such acts as may be reasonably necessary to accomplish the purpose for which it was formed, subject, however, to the following conditions:
  - (a) Adopt such rules and regulations pursuant to the Administrative Adjudication Law as may be necessary for the exercise of the Department's powers, performance of its duties and administration of its operations.
  - (b) Set fees, pursuant to the Administrative Adjudication Law, for appropriate types of services, appropriate types of medication formulary, and appropriate types of supplies, *except* in so doing, such fees shall *not* be retroactive as to services, medications and supplies furnished *prior* to the effective date of the enactment of such fees.

The schedule of fees shall be sufficient to recover the operating costs and fixed costs and to generate such revenue as is necessary to make the Department quasi-self-sustaining. The costs of any medical care and services rendered to any person under a medically indigent or assistance program as defined within Chapter 2 of this Title and/or Medicaid/medicare, shall be paid by the Department of Public Health and Social Services.

(c) Annual Analysis Report to I Liheslaturan Guåhan.

As a means of assuring the People of Guam that the Department is cost effective in the delivery and performance of healthcare

services mandated by law, the Council will establish monitors to measure the quality and appropriateness of services rendered, and the productivity and financial performance of the Department. The results of this measure shall be submitted to *I Liheslaturan Guåhan* concurrently with any fee adjustments.

(d) The Council shall submit to *I Liheslaturan Guåhan*, for approval, a budget for the use of any funds collected through the implementation of a fee schedule."

**Section 6. Effective Date.** The provisions of § 5 of this Act shall be effective on June 1, 2003.

**Section 7. Effective Date of Act.** The provisions of this Act shall become effective *immediately* upon enactment, *unless* specified otherwise.

**Section 8. Severability.** *If* any provision of this Law or its application to any person or circumstance is found to be invalid or contrary to law, such invalidity shall *not* affect other provisions or applications of this Law which can be given effect without the invalid provisions or application, and to this end the provisions of this Law are severable.

P1. 26-170

# I MINA' BENTE SAIS NA LIHESLATURAN GUAHAN

2002 (SECOND) Regular Session

Date: 12/30/02

## **VOTING SHEET**

S Bill No. 424 (COR) Resolution No Question:					
	**************************************				
NAME	YEAS	<u>NAYS</u>	NOT VOTING/ ABSTAINED	OUT DURING ROLL CALL	ABSEN
ADA, Joseph F.	V				
ADA, Thomas C.	V				
AGUON, Frank B., Jr.					
BROWN, Joanne M. S.	V				
CALVO, Eddie B.					
CAMACHO, Felix P.					L
CHARFAUROS, Mark C.	V				
FORBES, Mark					
KASPERBAUER, Lawrence F.	V				
LEON GUERRERO, Lourdes A.	V				
MOYLAN, Kaleo S.					
PANGELINAN, Vicente C.					
SANTOS, Angel L.G.					~
UNPINGCO, Antonio R.		_			
WON PAT, Judith T.					
TOTAL	12	0	0	0	3
CERTIFIED TRUE AND CORRECT:					
Clerk of the Legislature				3 Passes = No A = Excused Ab	

### DEC 2 4 2002

Speaker Antonio R. Unpingco I Mina' Bente Sais Na Liheslaturan Guåhan 155 Hesler Street Hagåtña, Guam 96910

#### Dear Mr. Speaker:

The Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Affairs, to which Bill No. 424, was referred, wishes to report its findings and recommendations TO DO PASS BILL NO. 424, as substituted: "AN ACT TO REPEAL AND REENACT SECTION 6123 OF CHAPTER 6. DIVISON 1, OF TITLE 11 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE YOUTH TOBACCO EDUCATION AND PREVENTION FUND, THE CREATION OF FUND ACCOUNTS FOR THE CHILD MENTAL HEALTH INITIATIVE PROGRAM, A FEDERALLY FUNDED GRANT OF THE DEPARTMENT OF MENTAL HEALTH AND SUBSTANCE ABUSE AND FOR OTHER PUPOSES."

The voting record is as follows:

TO PASS	6
NOT TO PASS	9
ABSTAIN	8
TO PLACE IN INACTIVE FILE	Ð
TO REPORT OUT	2
TO KEFOKI OUT	<u> </u>

Copies of the Committee Report and other pertinent documents are attached. Thank you and si Yu'os ma'ase

for your attention to this matter.

MARK FORBES

Attachments

## DEC 2 4 2002

#### **MEMORANDUM**

TO: Committee Members

FROM: Chairman

SUBJECT: Committee Report- BILL NO. 424, as substituted: "An Act To Repeal And Reenact

Section 6123 Of Chapter 6, Divison 1, Of Title 11 Of The Guam Code Annotated, Relative To The Youth Tobacco Education And Prevention Fund, The Creation Of Fund Accounts For The Child Mental Health Initiative Program, A Federally Funded Grant Of The Department Of Mental Health And Substance Abuse And

For Other Puposes."

Transmitted herewith for your information and action is the report on Bill No. 424, as substituted, from the Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Affairs.

This memorandum is accompanied by the following:

- 1. Committee Voting Sheet
- 2. Committee Report
- 3. Bill No.424, as substituted
- 4. Public Hearing Sign-in Sheet
- 5. Fiscal Note/Fiscal Note Waiver

Please take the appropriate action on the attached voting sheet. Your attention and cooperation in this matter is greatly appreciated.

Should you have any questions regarding the report or accompanying documents, please do not hesitate to contact me.

Thank you and si Yu'os ma'ase.

#### **MARK FORBES**

Attachments

# Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Affairs

#### I Mina' Bente Sais Na Liheslaturan Guåhan

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#### **Voting Record**

BILL NO. 424, "An Act To Repeal And Reenact Section 6123 Of Chapter 6, Divison 1, Of Title 11 Of The Guam Code Annotated, Relative To The Youth Tobacco Education And Prevention Fund, The Creation Of Fund Accounts For The Child Mental Health Initiative Program, A Federally Funded Grant Of The Department Of Mental Health And Substance Abuse And For Other Puposes."

	TO PASS	NOT TO PASS	TO ABSTAIN	INACTIVE FILE	REPORT <u>OUT</u>
MARK FORBES, Chairman		Minkson, p. Princip.	····		
Joseph F. Ada, Member					
Joanne M.S. Brown, Member					
Eddie B. Calvo, Member	<u>:/</u>				
Felix P. Camacho, Member					
Lawrence F. Kasperbauer, Ph. D., Member	<u> </u>				
Kaleo S. Moylan, Member					
Antonio R. Unpingco, Ex-Officio Member	<del></del>				NA STATE OF THE ST
Thomas C. Ada, Member			<del></del>		
Lou A. Leon Guerrero, Member					~
Vicente C. Pangelinan, Member					

12/2/102 pr. 24-170

# MINA'BENTE SAIS NA LIHESLATURAN GUÅHAN 2002 (SECOND) Regular Session

#### Bill No. 424 (COR)

As Substituted by the Committee on Public Works, Health and Human Services and amended.

Introduced by:

A. R. Unpingco
L. F. Kasperbauer
J. F. Ada
T. C. Ada
F. B. Aguon, Jr.
J. M.S. Brown

J. M.S. Brown F. P. Camacho

E. B. Calvo

M. C. Charfauros

Mark Forbes

L. A. Leon Guerrero

K. S. Moylan

V. C. Pangelinan

A. L. G. Santos

J. T. Won Pat

AN ACT RELATIVE TO THE CREATION OF AN ACCOUNT FOR THE CHILD MENTAL HEALTH INITIATIVE PROGRAM, A FEDERALLY FUNDED PROGRAM OF THE DEPARTMENT OF MENTAL HEALTH AND SUBSTANCE ABUSE AND FOR OTHER PURPOSES.

#### BE IT ENACTED BY THE PEOPLE OF GUAM:

Section 1. Legislative Findings and Intent. *I Liheslaturan Guåhan*finds that the Department of Mental Health and Substance Abuse
('DMH&SA') is the single state authority and agency for mental health and
substance abuse services.

The Department of Mental Health and Substance Abuse has applied competitively for the Child Mental Health initiative Grant from the United States Department of Health and Human Services, Substance Abuse and Mental Health Services Administration (SAMHSA), and was awarded nine million five hundred thousand dollars (\$9,500,000) for a period of six (6) years, to administer the Child Mental Health Initiative Program.

Under the leadership of SAMHSA, over 44 grants have been awarded to sites across the United States and now Guam who has applied for funds to improve systems of care for children. SAMHSA has a clear directive to Child Mental Health Initiative sites that they implement family-provider collaboration within their systems of care. This directive provides a unique opportunity for Guam to work in collaboration when offering services to children with emotional, behavioral, and mental disorders and their families.

As the federal grant guidelines state, successful implementation of systems of care at the Child Mental Health Initiative sites depends on "the full involvement and partnership of families in: (1) the planning, development, implementation, management and evaluation of the local service system (agencies such as DMH&SA, DYA, DISID, DPH&SS, DOE, family court of the

- 1 Superior Court of Guam); and (2) the care of their children and adolescents.
- 2 The Department of Mental Health and Substance Abuse hope that there will
- 3 be a range of participants in a wide variety of systems of care who are looking
- 4 for strategies to increase family participation and expand family-provider
- 5 collaboration.

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- 6 Included with this Act are measures relative to the Child Mental Health
- 7 Initiative Program creating a fund to secure grant monies anticipated by the
- 8 Department through quarterly allotments from SAMHSA. Additionally, this
- 9 Act allows for the Department to secure the necessary positions required
- 10 under the grant award through unclassified recruitment.

#### Section 2. Child Mental Health Initiative Program Grant Fund.

- (a) There is created, separate and apart from any other funds of the government of Guam, under the administration and control of the Department of Mental Health and Substance Abuse, Child-Adolescent Services Division, the Child Mental Health Initiative Grant Fund.
- (b) The Child Mental Health Initiative Grant Fund shall be held in an account or accounts at any Guam financial institution, or institutions, separate and apart from all other accounts and funds of the government of Guam, and shall not be subject to the transfer authority of *I Maga'lahen Guåhan*. All monies deposited in this account is hereby appropriated to the Department of Mental Health and Substance Abuse, Child-Adolescent Services Division. One hundred percent (100%) of the funds in the Child Mental Health Initiative Program Grant Fund shall be utilized by the Department of Mental Health and Substance Abuse, Child-Adolescent Services Division, for the administration,

management, and operations of the Child Mental Health Initiative Program.

- (c) All funds received by the Department of Mental Health and Substance Abuse from the Substance Abuse and Mental Health Services Administration (SAMHSA) of the United States Department of Health and Human Services for the Child Mental Health Initiative Program shall be deposited in the Child Mental Health Initiative Grant Fund.
- (d) All local matching fund appropriations and budget allotments for the Child Mental Health Initiative Program shall be deposited in the Child Mental Health Initiative Grant Fund.
- (e) Any in-kind monetary, philanthropic contribution, or any program income generated from direct or tertiary services of the Child Mental Health Initiative Program shall be deposited in the Child Mental Health Initiative Grant Fund. Such deposits shall be used towards the local matching requirements for the benefit and use of the Child Mental Health Initiative Program.
- (f) All interest accrued by the Child Mental Health Initiative Grant Fund shall be transferred bi-annually into the Department of Mental Health and Substance Abuse, Auxiliary Fund (specifically for C.M.H.I. services) as created by §86106 of Chapter 86, Title 10 Guam Code Annotated.
- (g) The Child Mental Health Initiative Grant Fund shall be audited by the Guam Public Auditor annually meeting the single audit requirements of the United States Office of Management Budget, Circular A-133. A copy of the audit shall be forwarded to I Maga'lahen

1 Guåhan and I Liheslaturan Guåhan upon comple	etion.
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- Section 3. Use of General Fund to carry the Federal Matching Grants-in-Aid Interim Requirements of the Child Mental Health Initiative Program.
  - (a) Notwithstanding any other provision of law, the Child Mental Health Initiative Program is authorized to use in the interim, the General Fund, to temporarily incur the federal matching allocations approved by the Substance Abuse and Mental Health Services Administration (SAMHSA) of the United States Department of Health and Human Services. The interim use of funds is hereby appropriated from the General Fund provided;
    - (b) any funds used are subject to reimbursement by the federal government; and
    - (c) reimbursed funds shall be returned to the General Fund upon receipt.
- Section 4. Authorization to Hire. The Department of Mental Health and Substance Abuse is authorized the hiring of necessary unclassified administrative, technical, professional and licensed personnel to carry out the work of the Child Mental Health Initiative Program provided that the position is one hundred percent (100%) federally funded and is an approved position by the Substance Abuse and Mental Health Services Administration (SAMHSA) of the United States Department of Health and Human Services, only under C.M.H.I. comprehensive agreement. These unclassified positions are as follows:
  - Clinical Director/Training Coordinator

1	Project Director			
2	Key Family Contact			
3	Youth Coordinator			
4	Social Marketing/Communication Manager			
5	Technical Assistance Coordinator			
6	Care Coordinator (SW1)			
7	Care Coordinator (SW1+3)			
8	Care Coordinator (SW2+3)			
9	Care Coordinator (SW3+5)			
10	Administrative Officer			
11	Data/Administrative Clerk			
12	Allocation of pay grades for the unclassified positions shall be slotted by			
13	CSC, using the Hay Guide Chart profile. These actions are subject to audit by			
14	CSC.			
15	Section 5. Add Section 86108(6) of Chapter 86, Division 4, of Title 10 of			
16	the Guam Code Annotated, relative to the Council of Mental Health and			
17	Substance Abuse. Section 86108(6) of Chapter 86, Division 4, of Title 10 of the			
18	Guam Code Annotated is hereby added to read:			
19	§ 86107. Duties of Council.			
20	"(6) Perform such acts as may be reasonably necessary to			
21	accomplish the purpose for which it was formed, subject,			
22	however, to the following conditions:			
23	(a) Adopt such rules and regulations pursuant to			
24	the Administrative Adjudication Act as may be necessary			
25	for the exercise of the department's powers, performance of			

its duties and administration of its operations;

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- Set fees, pursuant to the Administrative (b) Adjudication Act, for appropriate types of services, appropriate types of medication formulary, and appropriate types of supplies, except in so doing, such fees shall not be retroactive as to services, medications and supplies furnished prior to the effective date of the enactment of such fees. The schedule of fees shall be sufficient to recover the operating costs and fixed costs and to generate such revenue as is necessary to make the department quasi self sustaining. The costs of any medical care and services rendered to any person under a medically indigent or assistance program as Chapter of this Title defined within and/or Medicaid/medicare, shall be paid by the Department of Public Health and Social Services.
- (c) Annual Analysis Report to I Liheslaturan Guahån. As a means of assuring the people of Guam that the department is cost effective in the delivery and performance of healthcare services mandated by law, the Council will establish monitors to measure the quality and appropriateness of services rendered and the productivity and financial performance of the department. The results of this measures shall be submitted to I Liheslaturan Guahån concurrently with any fee adjustments.
  - (d) The Council shall submit to I Liheslaturan

Guahån, for approval, a budget for the use of any funds 1 2 collected through the implementation of a fee schedule." Section 6. Effective Date. The provisions of Section 5 of this Act 3 shall be effective on June 1, 2003. 4 Section 7. Effective Date of Act. The provisions of this Act shall 5 become effective immediately upon enactment, unless specified otherwise. 6 If any provision of this Law or its 7 Section 8. Severability. 8 application to any person or circumstance is found to be invalid or contrary to law, such invalidity shall not affect other provisions or applications of this 9 Law which can be given effect without the invalid provisions or application, 10 and to this end the provisions of this Law are severable. 11

# MINA'BENTE SAIS NA LIHESLATURAN GUÅHAN 2002 (SECOND) Regular Session

Bill No. 424 (COR)

Introduced by:

1

E. B. Calvo A.R. Unpingco
L.F. Kasperbauer

AN ACT TO REPEAL AND REENACT SECTION 6123 OF CHAPTER 6, DIVISION 1, OF TITLE 11 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE YOUTH TOBACCO EDUCATION AND PREVENTION FUND, THE CREATION OF FUND ACCOUNTS FOR THE CHILD MENTAL HEALTH INITIATIVE PROGRAM, A FEDERALLY FUNDED GRANT OF THE DEPARTMENT OF MENTAL HEALTH AND SUBSTANCE ABUSE AND FOR OTHER PURPOSES.

#### BE IT ENACTED BY THE PEOPLE OF GUAM:

- 2 Section 1. Legislative Findings and Intent. I Liheslaturan Guåhan finds
- 3 that Public Law Number 25-187, as amended, established the Youth Tobacco
- 4 Education and Prevention Fund, which is subject to legislative appropriation with
- 5 monies contained therein to be expended exclusively for the purpose of funding
- 6 program expenditures at the Department of Mental Health and Substance Abuse
- 7 Authority ("DMH&SA").

Two (2) Sub-accounts were established on June 21, 2001 within the Youth
Tobacco Education and Prevention Fund: the Series 2001A Sub-account, with a total
of Nine Hundred Eighty-two Thousand Two Hundred Forty-seven and Twenty-six

4 Cents (\$982,247.26) on deposit; and the Series 2001B Sub-account, with a total of One

5 Million One Hundred Sixty-five Thousand Seven Hundred Forty-eight Dollars and

6 Nine Cents (\$1,165,748.09).

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I Liheslaturan Guåhan finds that the Department of Mental Health and Substance Abuse ('DMH&SA') is the state authority and agency for mental health services. Public Law Number 25-187, as amended, established the Youth Tobacco Education and Prevention Fund. The fund is to be expended exclusively for the purpose of funding programs DMH&SA. Furthermore, the Youth Tobacco Education and Prevention Fund, subject to legislative approval, may be invested or reinvested in bonds or in securities that are approved for the Retirement Fund, or according to modern investment practices of similar funds, as such appropriations are limited as set forth in Public Law Number 25-187, as amended, and by certain covenants of the government relating to the Bonds.

Two (2) Sub-accounts were established on June 21, 2001 within the Youth Tobacco Education and Prevention Fund: the Series 2001A Sub-account, with a total of Nine Hundred Eight-two Thousand Two Hundred Forty-seven and Twenty-six Cents

1 (\$982,247.26) on deposit; and the Series 2001B Sub-account, with a total of One

2 Million One Hundred Sixty-five Thousand Seven Hundred Forty-eight Dollars and

3 Nine Cents (\$1,165,748.09) on deposit. I Liheslaturan Guåhan further finds that all

4 of the monies contained in the Series 2001A Sub-account may be used to fund

operating expenses or capital expenses which has been similarly granted to the hospital

in the use of their Tobacco Settlement Funds.

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The Department of Mental Health and Substance Abuse has applied competitively for the Child Mental Health initiative Grant from the United States

Department of Health and Human Services, Substance Abuse and Mental Health

Services Administration (SAMHSA), and was awarded nine million five hundred thousand dollars (\$9,500,000) for a period of six (6) years, to administer the Child Mental Health Initiative Program.

Under the leadership of SAMHSA, over 44 grants have been awarded to sites across the United States and now Guam who has applied for funds to improve systems of care for children. SAMHSA has a clear directive to Child Mental Health Initiative sites that they implement family-provider collaboration within their systems of care. This directive provides a unique opportunity for Guam to work at collaboration when offering services to children with emotional, behavioral, and mental disorders and their families.

As the federal grant guidelines state, successful implementation of systems of care at the Child Mental Health Initiative sites depends on "the full involvement and partnership of families in: (1) the planning, development, implementation, management and evaluation of the local service system (agencies such as DMH&SA, GMH DISIT, DPH&SS); and (2) the care of their children and adolescents. The Department of Mental Health and Substance Abuse hope that there will be a range of participants in a wide variety of systems of care who are looking for strategies to increase family participation in systems of care and to expand family-provider collaboration The approach of the Department of Mental Health and Substance Abuse is to create a wrap around system where the Department will take a lead coordination role with the Guam System of Care Council. This collaboration of services will simplify the need of a child requiring servicing through a single entity providing monitoring, The grant award by SAMHSA funding the coordination and execution of services. Child Mental Health Initiative Fund is utilized by the Child Services Division, for wraparound services facilitated by the care coordinators of the Child Mental Health Initiative Program, in the execution of individualized service plans for each child with

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service plans for each child and their families must be approved by the Guam System

a serious emotional disturbance and their family. The development of individualized

of Care Council where certain funds through outright grants are provided to these

1	families assuring the	acquisition of n	ecessary services or	commodities facil	litating each
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- 2 unique service plan designed for each child under the program. This grant is locally
- 3 funded and is a part of Guam's earnest commitment to securing the grant.
- 4 It is therefore the intent of *I Liheslaturan Guåhan* to appropriate a sum of Two
- 5 Hundred Fifty Thousand Dollars (\$250,000.00) from the Series 2001A Sub-account of
- 6 the Youth Tobacco Education and Prevention Fund to be utilized as flex funds for the
- 7 Children Mental Health Initiative Grant
- 8 Included with this Act are measures relative to the Child Mental Health Initiative
- 9 Program creating a fund to secure the grant money's anticipated by the Department
- 10 through quarterly allotments. Additionally, this Act allows for the Department to
- secure the necessary positions required under the grant award through unclassified
- 12 recruitment.
- Section 2. Repeal and Reenactment of Section 6123 of Chapter 6, Division
  - 14 1, of Title 11 of The Guam Code Annotated. Section 6123 of Chapter 6, Division
  - 15 1, of Title 11 of The Guam Code Annotated as amended by P.L. 25-187 is hereby
  - 16 repealed and reenacted to read:
  - 17 "§ 6123. Youth Tobacco Education and Prevention Fund.
  - (a) There is created, separate and apart from any other
  - funds of the government of Guam, under the administration

and control of the Department, the Youth Tobacco Education and Prevention Fund ('YTEPF'). YTEPF shall be held in an account or accounts at a Guam financial institution, or institutions, separate and apart from all other accounts and funds of the government of Guam, and shall not be subject to the transfer authority of *I Maga'lahen Guåhan*. One hundred percent (100%) of the funds in the YTEPF shall be utilized by the Department of Mental Health and Substance Abuse for youth compliance monitoring; tobacco, drug prevention and education programs; operating expenses or capital expenses.

(b) YTEPF, subject to legislative approval, may be invested or reinvested in bonds or in securities that are approved for the Retirement Fund or according to modern investment practices of similar funds. The appropriations from the YTEPF received pursuant to, shall be limited to 50% of the initial deposit from the proceeds of the sale for the first three years. Thereafter, expenditures shall be limited to 90% of the earnings of the Fund."

1 Interest from the Series 2001B Sub-account of the Youth Tobacco Education and Prevention Fund to be redeposited into the Series 2001A 2 Sub-account of the Youth Tobacco Education and Prevention Fund. 3 that all bond covenant and tobacco settlement requirements and restrictions are 4 5 satisfied, interest earned above the principal deposit of One Million One Hundred 6 Sixty-five Thousand Seven Hundred Forty-eight Dollars and Nine Cents 7 (\$1,165,748.09) of the Youth Tobacco Education and Prevention Fund, Series 2001B Sub-account shall be transferred into the Youth Tobacco Education and Prevention 8 Fund, Series 2001A Sub-account forty-five days prior to end of each fiscal year. The 9 transfer of funds shall continue until *I Liheslaturan Guahån* approves the investment 10 of the Youth Tobacco Education and Prevention Fund, Series 2001A Sub-account, into 11 12 bonds or in securities that are approved for the Retirement Fund or other investment practices yielding higher returns. 13

Section 4. Child Mental Health Initiative Fund. (a) There is created, separate and apart from any other funds of the government of Guam, under the administration and control of the Department of Mental Health and Substance Abuse, Child Services Division, the Child Mental Health Initiative Fund.

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(b) The Child Mental Health Initiative Fund shall be held in an account or accounts at any Guam financial institution, or institutions, separate and apart from all

other accounts and funds of the government of Guam, and shall not be subject to the transfer authority of I Maga'lahen Guåhan. All monies deposited in this account is hereby appropriated to the Department of Mental Health and Substance Abuse, Child Services Division. One hundred percent (100%) of the funds in the Child Mental Health Initiative Fund shall be utilized by the Child Services Division, for wraparound services facilitated by the care coordinators of the Child Mental Health Initiative Program, in the execution of individualized service plans for each child with a serious emotional disturbance and their family. The development of individualized service plans for each child and their families must be approved by the Guam System of Care Council.

(c) Disbursements made against the Child Mental Health Initiative Fund shall be approved by three fourths 3/4 vote of the total membership of the Council on Mental Health and Substance Abuse. Approved individualized service plans by the Guam System of Care Council shall be submitted to the Council on Mental Health and Substance Abuse and shall be treated as an outright grant exempt from the Procurement Laws of Guam. Disbursements shall only be used to support individualization of services for children and their families participating in the Child Mental Health Initiative Program.

- 1 (d) All interest earned by the Child Mental Health Initiative Fund shall remain
- 2 within the fund and is appropriated for the purposes of facilitating individualized
- 3 service plans for each child and their families under the program.
- 4 (e) The fund is capitated at Two Hundred Fifty Thousand Dollars
- 5 (\$250,000.00).
- 6 (f) The Council on Mental Health and Substance Abuse shall not be made to
- 7 waste in the determination of fund expenditures allowed in this appropriation in
- 8 compliance with this Section. This fund shall not be used as a subsidy for any salaries,
- 9 benefits or for the payment of any overtime salaries. The Guam Public Auditor is
- required to audit the fund annually and a report submitted to I Maga'lahen Guåhan
- and I Liheslaturan Guåhan within 120 days after the close of a fiscal year.
- 12 Section 5. Appropriation for Child Mental Health Initiative Fund of the
- 13 Department of Mental Health and Substance Abuse. The sum of Two Hundred
- 14 Fifty Thousand Dollars (\$250,000.00) is hereby appropriated from the Series 2001A
- 15 Sub-account of the Youth Tobacco Education and Prevention Fund to the Child Mental
- 16 Health Initiative Fund administered by the Department of Mental Health and Substance
- 17 Abuse to be used exclusively for the Child Mental Health Initiative Program,
  - wraparound flex fund for the purposes of facilitating individualized service plans for
  - 19 each child and their families under the program.

Section 6. Appropriation to the Child Mental Health Initiative Fund from 1 interest deposits into Series 2001A Sub-Account of the Youth Tobacco Education 2 and Prevention Fund. Deposits made pursuant to Section 3 of this Act shall be 3 transferred and appropriated to the Child Mental Health Initiative Fund at the onset of 4 5 each fiscal year commencing on Fiscal Year 2004. Funds transferred shall not exceed the capitation requirements set within Section 4(e) of this Act. 6

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Reporting Requirement. The Department of Mental Health and Section 7. Substance Abuse shall submit a detailed report of the expenditures of the funds appropriated by Section 5 of this Act to the Speaker of *I Liheslaturan Guåhan*; to the Chairpersons of the Committee on Ways and Means; and to the Committee on Public Works, Health and Human Services of *I Liheslaturan Guåhan* within sixty (60) days following the close of each Fiscal Year. If any unused portion of the funds appropriated herein is carried over into Fiscal Year 2004 or any succeeding fiscal year, the provisions contained in this Section shall apply.

Section 8. Child Mental Health Initiative Program Grant Fund. (a) There is created, separate and apart from any other funds of the government of Guam, under the administration and control of the Department of Mental Health and Substance

Abuse, Child Services Division, the Child Mental Health Initiative Grant Fund.

(b) The Child Mental Health Initiative Grant Fund shall be held in an account or accounts at any Guam financial institution, or institutions, separate and apart from all other accounts and funds of the government of Guam, and shall not be subject to the transfer authority of I Maga'lahen Guåhan. All monies deposited in this account is hereby appropriated to the Department of Mental Health and Substance Abuse, Child Services Division. One hundred percent (100%) of the funds in the Child Mental Health Initiative Program Grant Fund shall be utilized by the Department of Mental Health and Substance Abuse, Child Services Division, for the administration, management, and operations of the Child Mental Health Initiative Program.

- (c) All funds received by the Department of Mental Health and Substance Abuse from the Substance Abuse and Mental Health Services Administration (SAMHSA) of the United States Department of Health and Human Services for the Child Mental Health Initiative Program shall be deposited in the Child Mental Health Initiative Grant Fund.
- (d) All local matching fund appropriations and budget allotments for the Child Mental Health Initiative Program shall be deposited in the Child Mental Health Initiative Grant Fund.
- 18 (e) Any in-kind monetary, philanthropic contribution, or any program income 19 generated from direct or tertiary services of the Child Mental Health Initiative Program

- shall be deposited in the Child Mental Health Initiative Grant Fund. Such deposits
- 2 shall be used towards the local matching requirements for the benefit and use of the
- 3 Child Mental Health Initiative Program.
- 4 (e) All interest accrued by the Child Mental Health Initiative Grant Fund shall
- 5 be transferred bi-annually into the Department of Mental Health and Substance Abuse,
- 6 Auxiliary Fund as created by §86106 of Chapter 86, Title 10 Guam Code Annotated.
- 7 (d) The Child Mental Health Initiative Grant Fund shall be audited by the Guam
- 8 Public Auditor annually meeting the single audit requirements of the United States
- 9 Office of Management Budget, Circular A-133. A copy of the audit shall be forwarded
- 10 to I Maga'lahen Guåhan and I Liheslaturan Guåhan upon completion.
- 11 Section 9. Use of General Fund to carry the Federal matching grants-in-aid
- 12 interim requirements of the Child Mental Health Initiative Program. (a)
- 13 Notwithstanding any other provision of law, the Child Mental Health Initiative
- 14 Program is authorized to use in the interim, the General Fund, to temporarily incur the
- 15 federal matching allocations approved by the Substance Abuse and Mental Health
- 16 Services Administration (SAMHSA) of the United States Department of Health and
- 17 Human Services. The interim use of funds is hereby appropriated from the General
- 18 Fund provided;

- 1 (b) any funds used are subject to reimbursement by the federal government; 2 and
- 3 (c) reimbursed funds shall be returned to the General Fund upon receipt.
- Section 10. Authorization to Hire. The Department of Mental Health and 4 Substance Abuse is authorized the hiring of necessary unclassified administrative, 5 technical, professional and licensed personnel to carry out the work of the Child Mental 6 7 Health Initiative Program provided that the position is one hundred percent (100%) federally funded and is an approved position by the Substance Abuse and Mental 8 9 Health Services Administration (SAMHSA) of the United States Department of Health 10 and Human Services. Within twenty-four (24) months upon enactment of this Act, the 11 Department of Mental Health and Substance Abuse shall submit to the Guam Civil Service Commission a listing of unclassified positions to be admitted into classified 12 service of the government of Guam entitled to all rights, benefits and protections 13 accorded full time equivalent personnel within the classified services of the 14
- Section 11. Add Section 86108(6) of Chapter 86, Division 4, of Title 10 of the Guam Code Annotated, relative to the Council of Mental Health and Substance Abuse. Section 86108(6) of Chapter 86, Division 4, of Title 10 of the Guam Code Annotated is hereby added to read:

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government of Guam pursuant to law.

### § 86107. Duties of Council.

- "(6) Perform such acts as may be reasonably necessary to accomplish the purpose for which it was formed, subject, however, to the following conditions:
  - (a) Adopt such rules and regulations pursuant to the Administrative Adjudication Act as may be necessary for the exercise of the department's powers, performance of its duties and administration of its operations;
  - (b) Set fees, pursuant to the Administrative Adjudication Act, for new types of services, new types of medications, and new types of supplies, except in so doing, such fees shall not be retroactive as to services, medications and supplies furnished prior to the effective date of the enactment of such fees. The schedule of fees shall be sufficient to recover the operating costs and fixed costs and to generate such revenue as is necessary to make the department quasi self sustaining. The costs of any medical care and services rendered to any person under a medically indigent or assistance program as defined within Chapter 2 of this Title, shall be paid by the Department of Public Health and Social Services.

1	(c) Annual Analysis Report to I Liheslaturan Guahån. As
2	a means of assuring the people of Guam that the department is cost
3	effective in the delivery and performance of healthcare services
4	mandated by law, the Council will establish monitors to measure
5	the quality and appropriateness of services rendered and the
6	productivity and financial performance of the department. The
7	results of this measures shall be submitted to I Liheslaturan
8	Guahån concurrently with any fee adjustments.
9	(d) The Council shall submit to I Liheslaturan Guahån, for
10	approval, a budget for the use of any funds collected through the
11	implementation of a fee schedule."

**Section 12. Effective Date.** The provisions of this Act shall become effective *immediately* upon enactment, *unless* specified otherwise.

Section 13. Severability. If any provision of this Law or its application to any person or circumstance is found to be invalid or contrary to law, such invalidity shall *not* affect other provisions or applications of this Law which can be given effect without the invalid provisions or application, and to this end the provisions of this Law are severable.